



# **The Analysis of Temperature and Stress in GaN Devices using Raman Spectroscopy**

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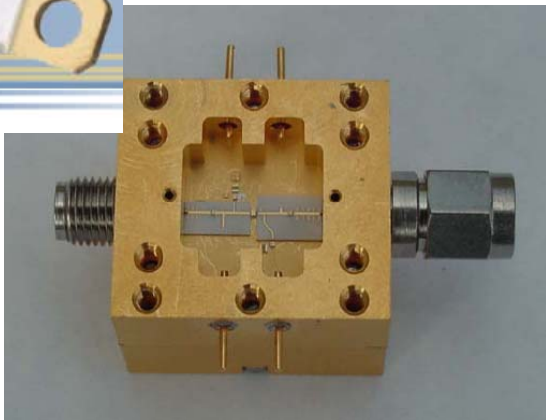
**In support of the Air Force MURI:  
A 21<sup>st</sup> Century Approach to Reliability  
and  
AFRL PACE Program**



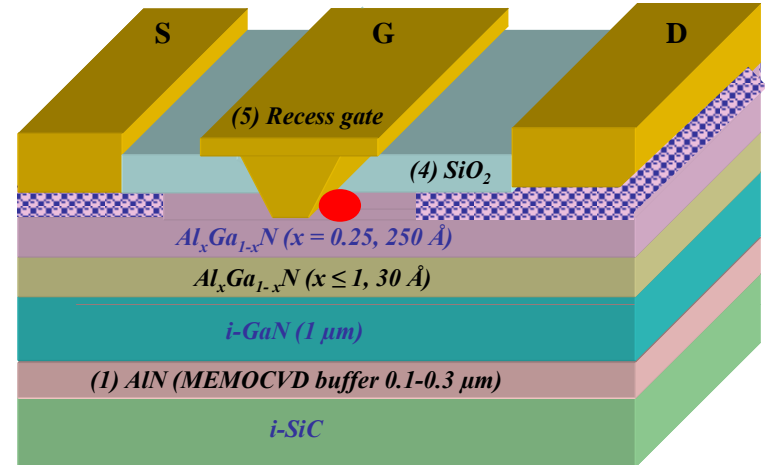
# Wide Band Gap RF and Power Electronics



GaN HFETs  
and  
RF modules



WiMax Base Stations



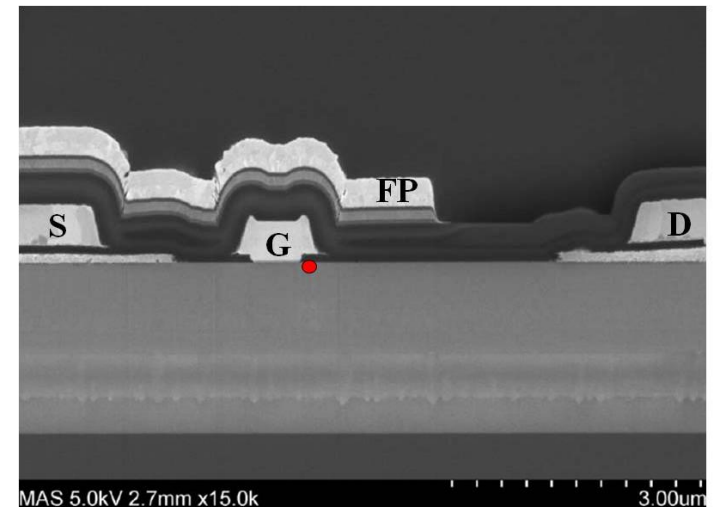
Develop new RF micro/millimeter wave applications using GaN

## Top Issues for deployment: Reliability and Thermal Management

-Large power densities in device---intense device heating.

Has dramatic impact on device performance

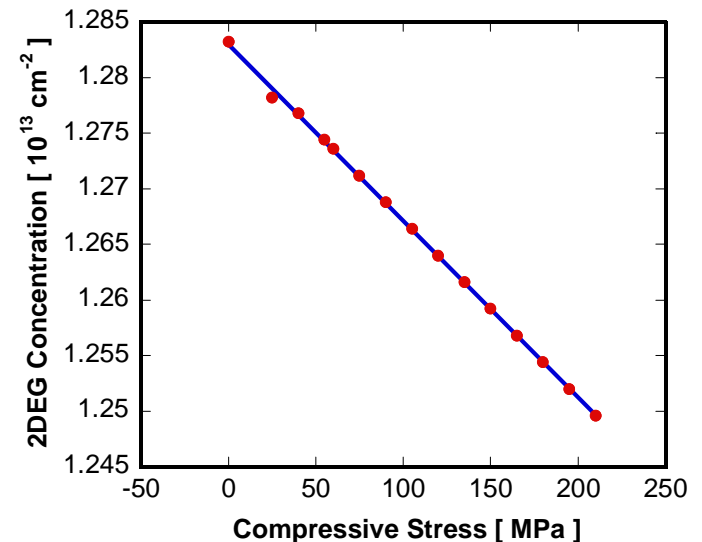
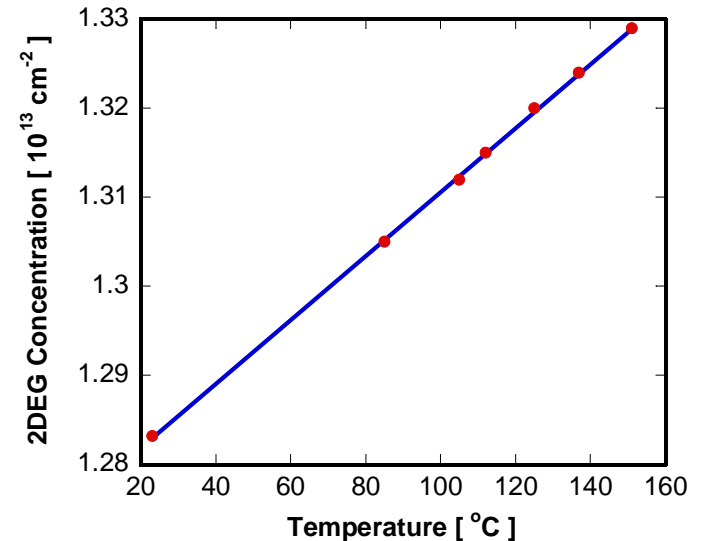
-degradation of contacts, defect creation, etc.



## 2DEG, Temperature, and Stress

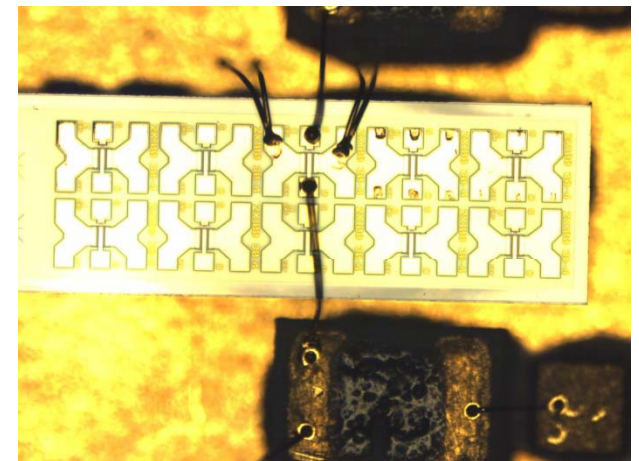
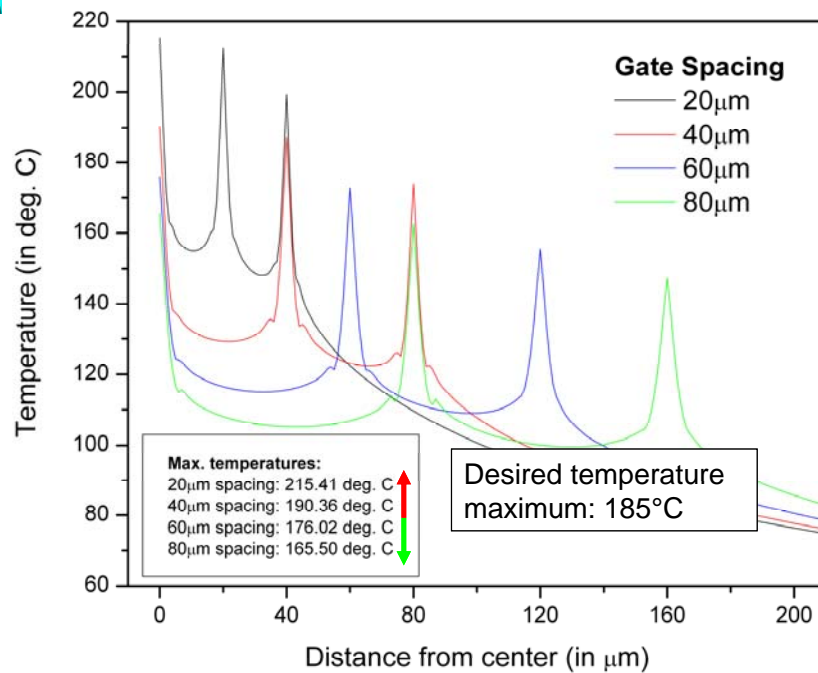
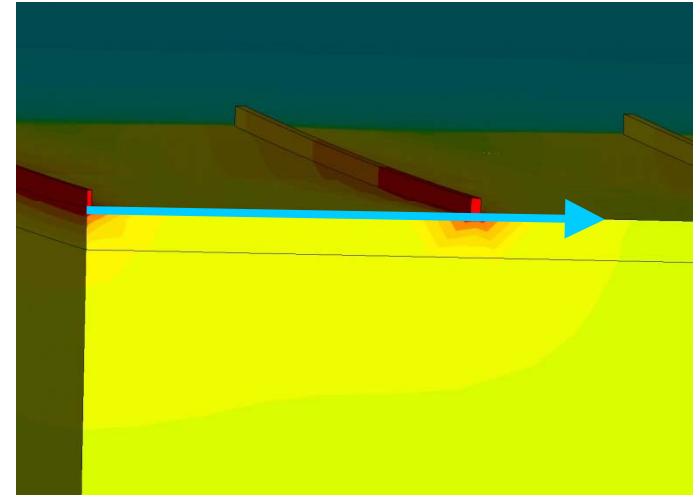
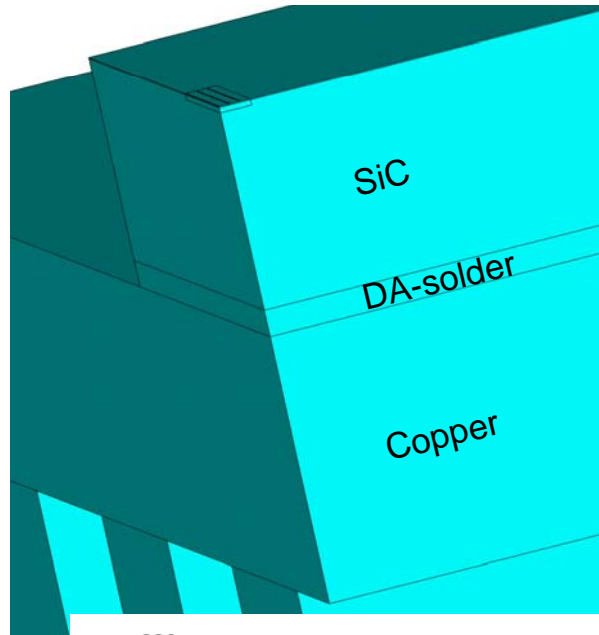
- Temperature and stress significantly affect the 2DEG concentration of an HEMT.
- Performance** is reduced by a 3% power reduction with even small loads (10% considered failure) [1].
- Reliability** is affected by these parameters as well:
  - Stress:** Life reducing cracking in device occurs in response to elastic effects.

**Takeaway:** *Temperature and stress are inextricably linked to performance and reliability.*



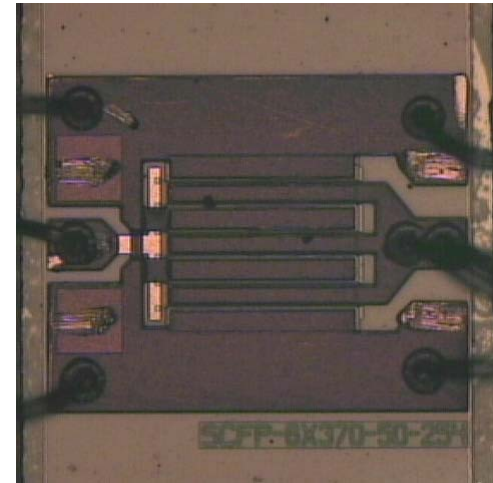
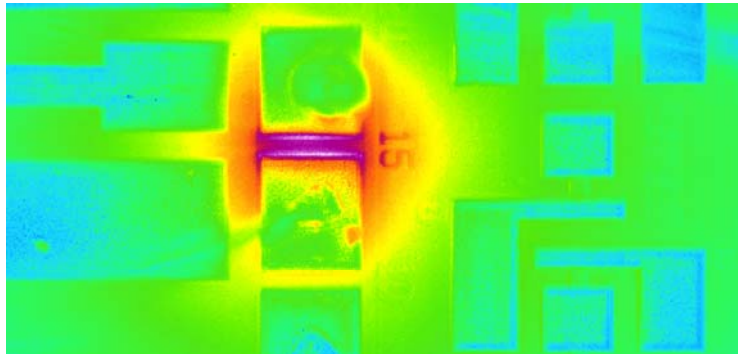
[1] E. R. Heller, *Electron Devices, IEEE Transactions on*, vol. 55, no. 10, pp. 2554-2560, 2008.

# Thermal Modeling of GaN HFETs



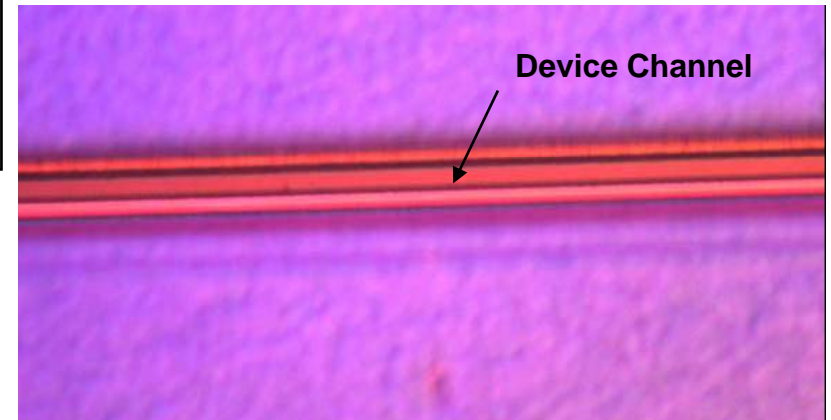
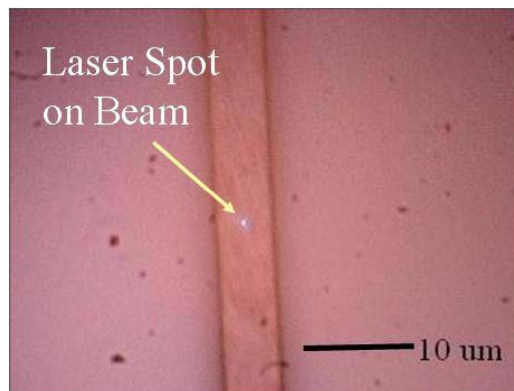
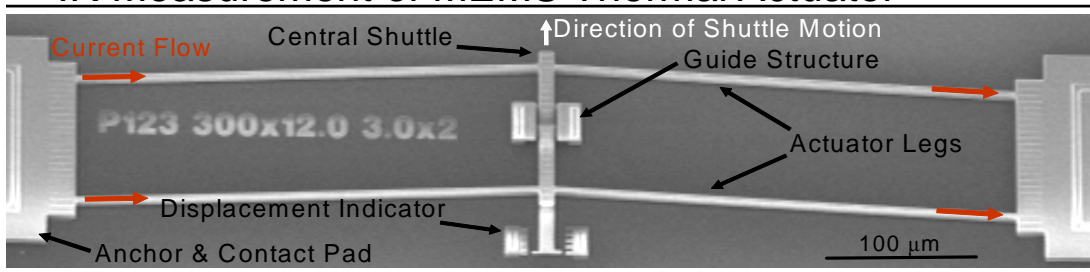
# Temperature Measurements in GaN Devices

IR measurement of GaN on SiC TLM



Measurement of HEMT Devices

IR Measurement of MEMS Thermal Actuator



Measurement of Channel Region:  
Often not possible with IR



# Micro-Raman Spectrum

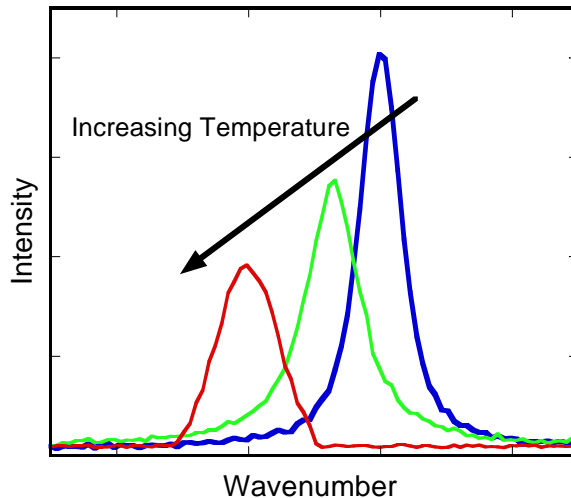


Raman Spectroscopy probes the vibrational frequency and scattering of zone centered optical phonons in GaN.

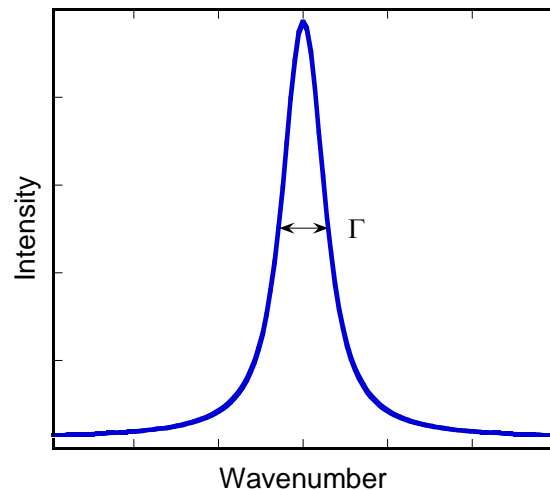
Also probes the equilibrium phonon population through the Stokes/Anti-Stokes ratio.

We can measure temperature by the following characteristics:

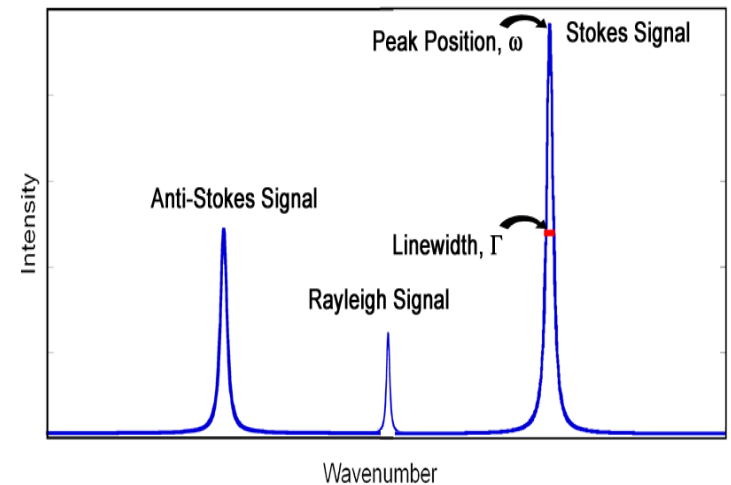
Peak shift ( $T_\omega$ )



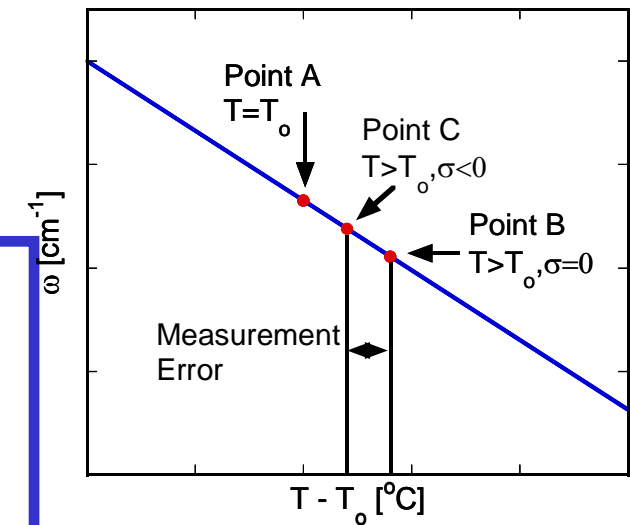
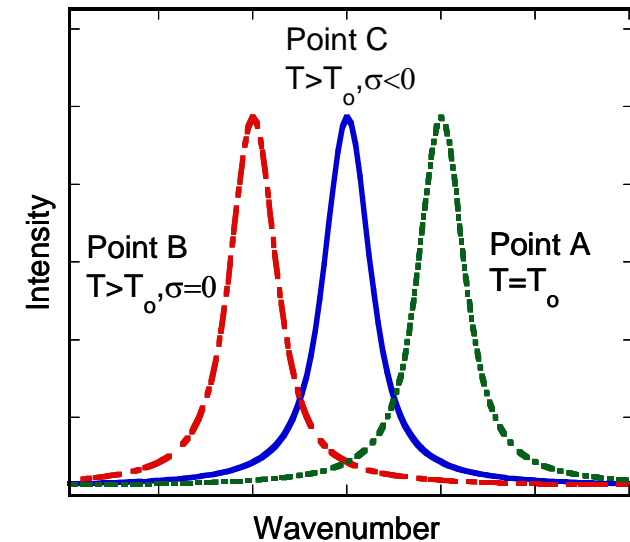
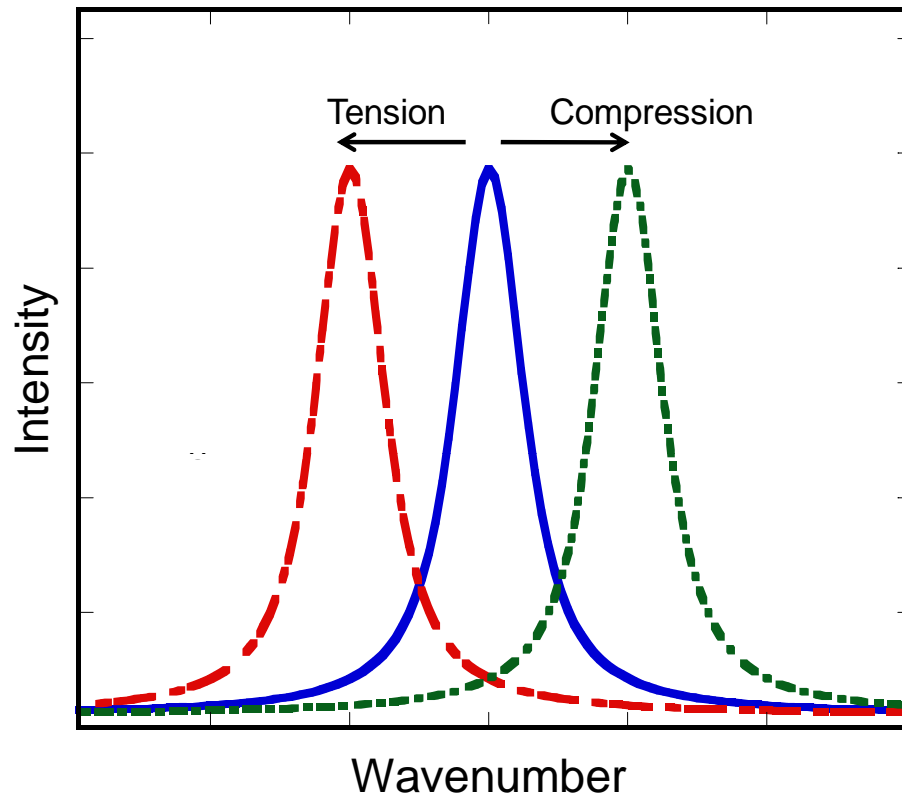
Linewidth variation ( $T_\Gamma$ )



Intensity Ratio ( $T_R$ )



# Raman Thermometry: Peak Shift



Under localized heating, a sample starting at point A will increase to a temperature at point B. The raman peak will want to shift to point B, but the presence of a compressive stress can cause the raman peak to shift only to point C. Compressive stresses counter act the movement of the peak with increasing temperature.

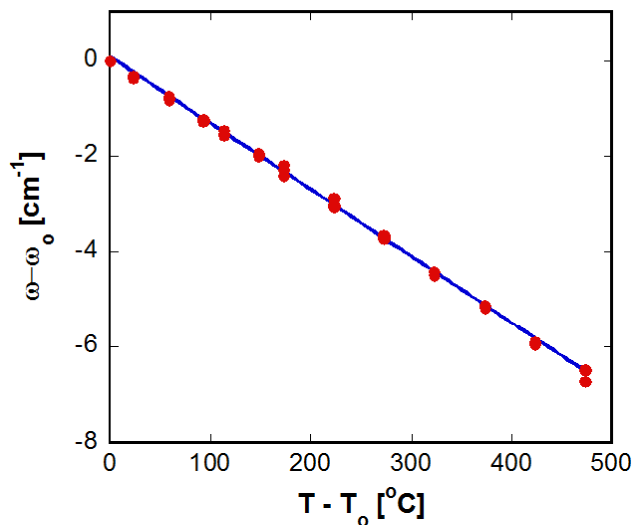
Solution: A separate aspect of the spectrum which is unaffected by stress.

# Calibration: Temperature Dependence

- Temperature response is measured from 24-500°C
- Peak shift and linewidth may be measured relative to a reference
- Intensity ratio must be measured using an extensive Ratio

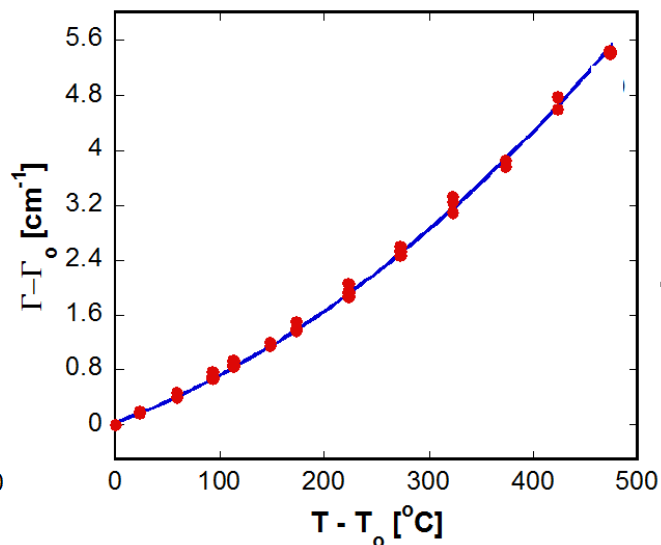
Peak Shift

$$\Delta\omega = A \cdot (T - T_o)$$



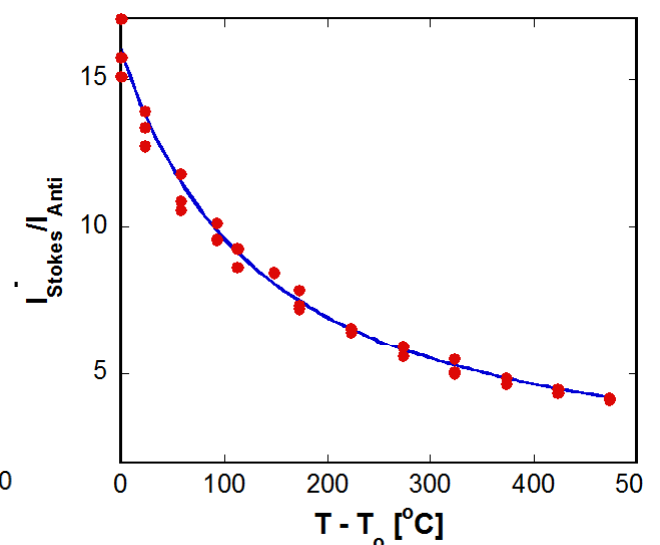
Linewidth

$$\Delta\Gamma = B(T - T_o)^2 + C(T - T_o)$$



Ratio

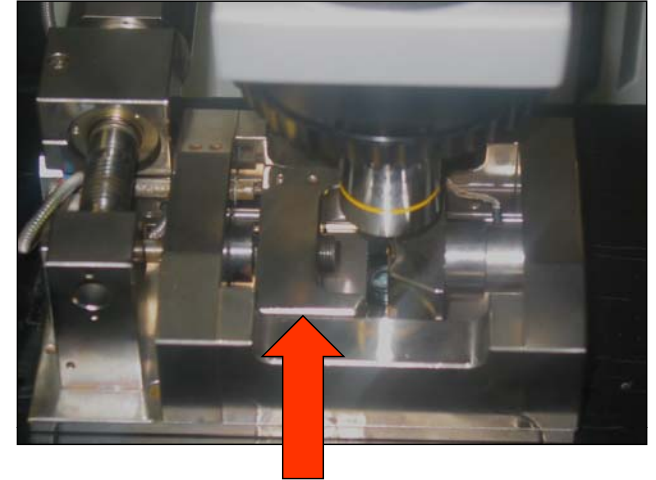
$$I_{\text{Stokes}} / I_{\text{Anti}} = G \cdot e^{\left(\frac{-H}{T}\right)}$$





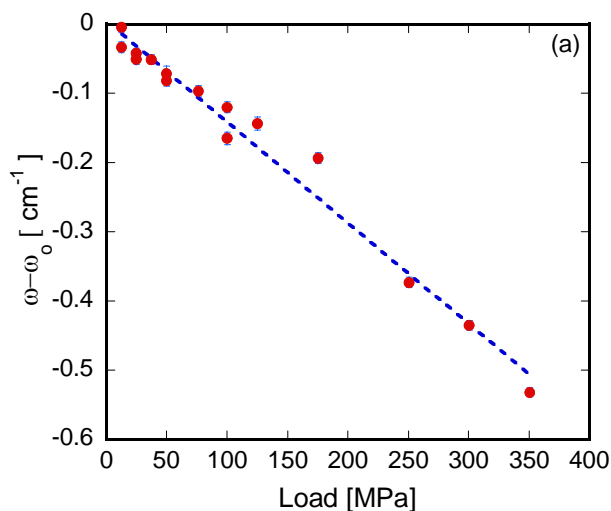
# Calibration: Stress Dependence

- Tensile bending experiments on sample up to 350 MPa.
- Both Linewidth and Stokes/Anti-Stokes Ratio are independent of applied stress.
- Conclusion: Linewidth & Ratio may be used for measurement of temperature independent of thermoelastic stress

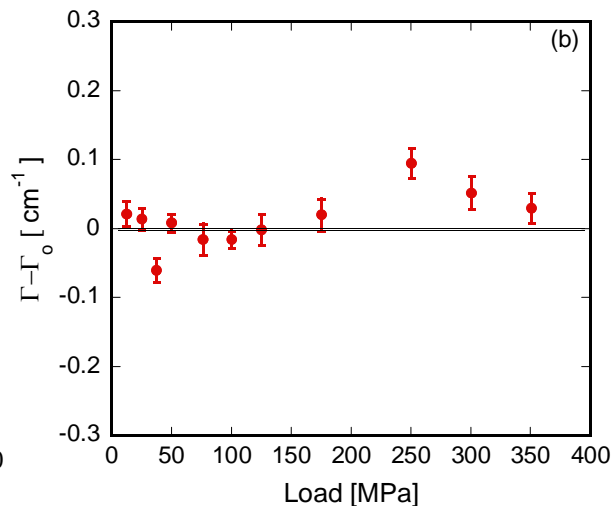


5000N 4-point Bending  
Fixture w/ sample

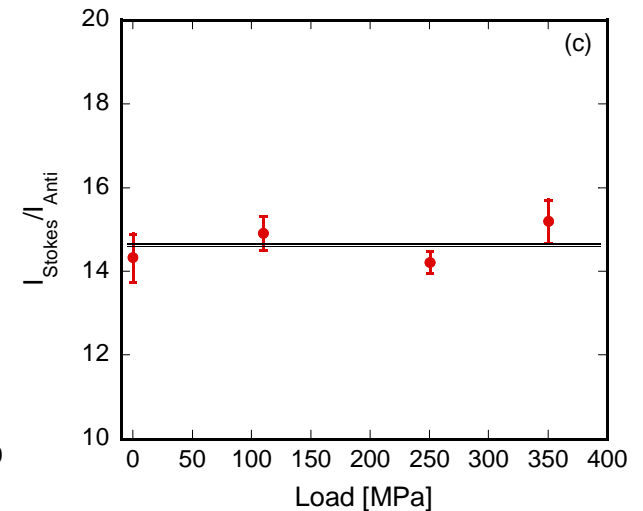
Peak Shift



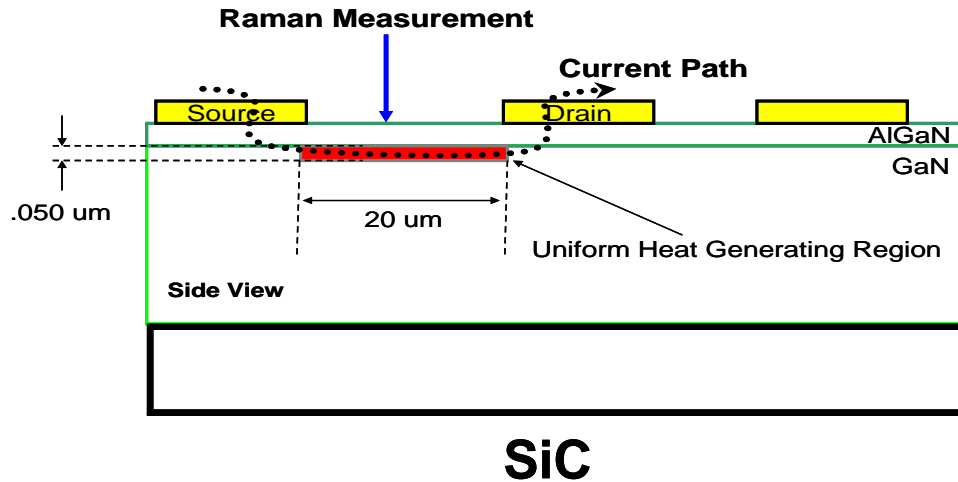
Linewidth



Ratio



# Measurements on GaN TLM

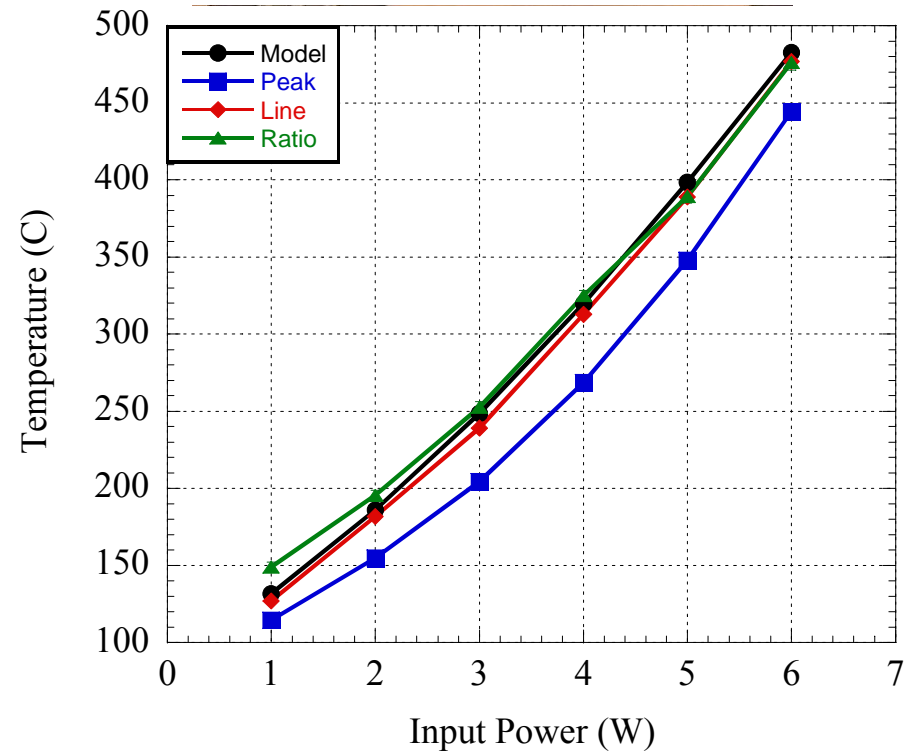
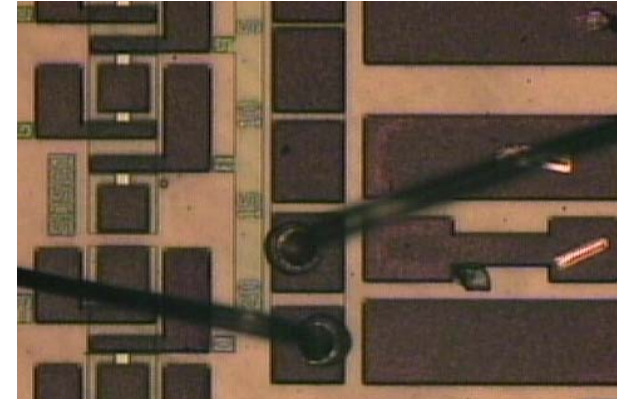


## Data Show That:

Raman Peak Shift measurements  
under estimate the temperature

Raman Linewidth in good  
agreement with FEA model and  
Ratio Method

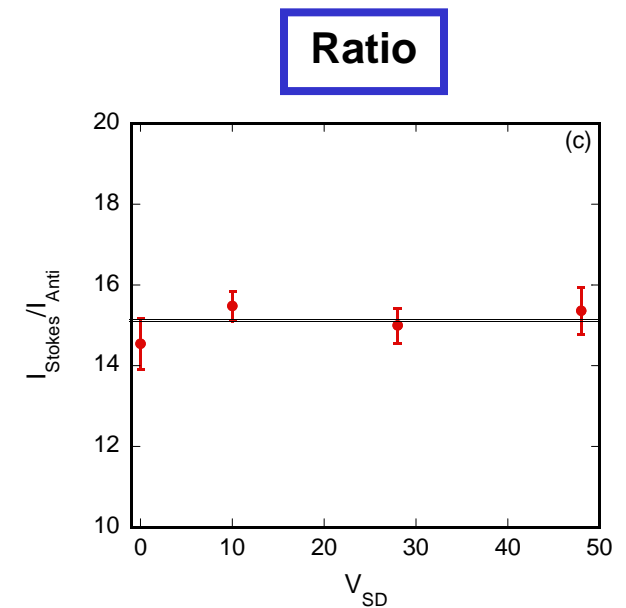
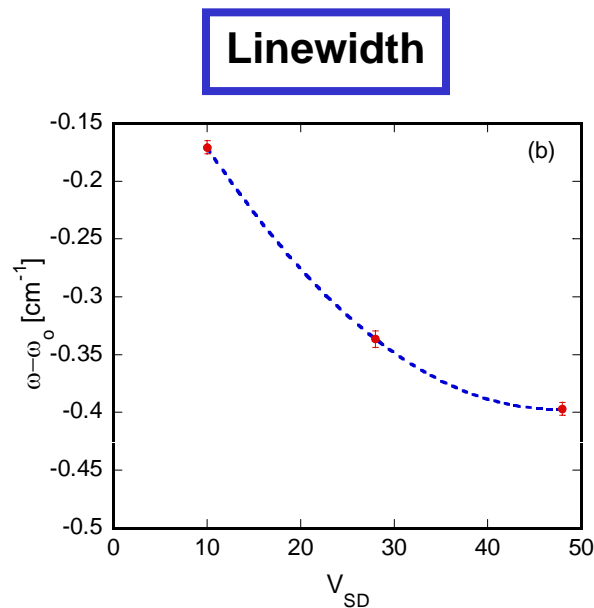
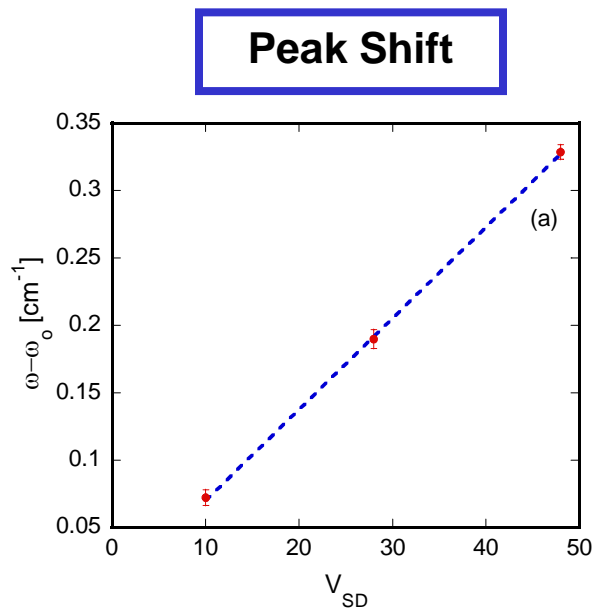
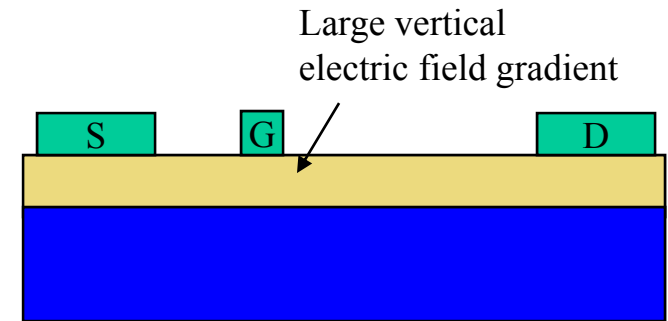
**Baseplate temperature: 85°C**



D. Green, et al., ICNS 2007.

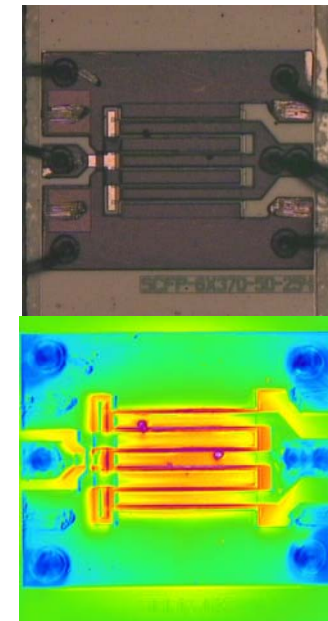
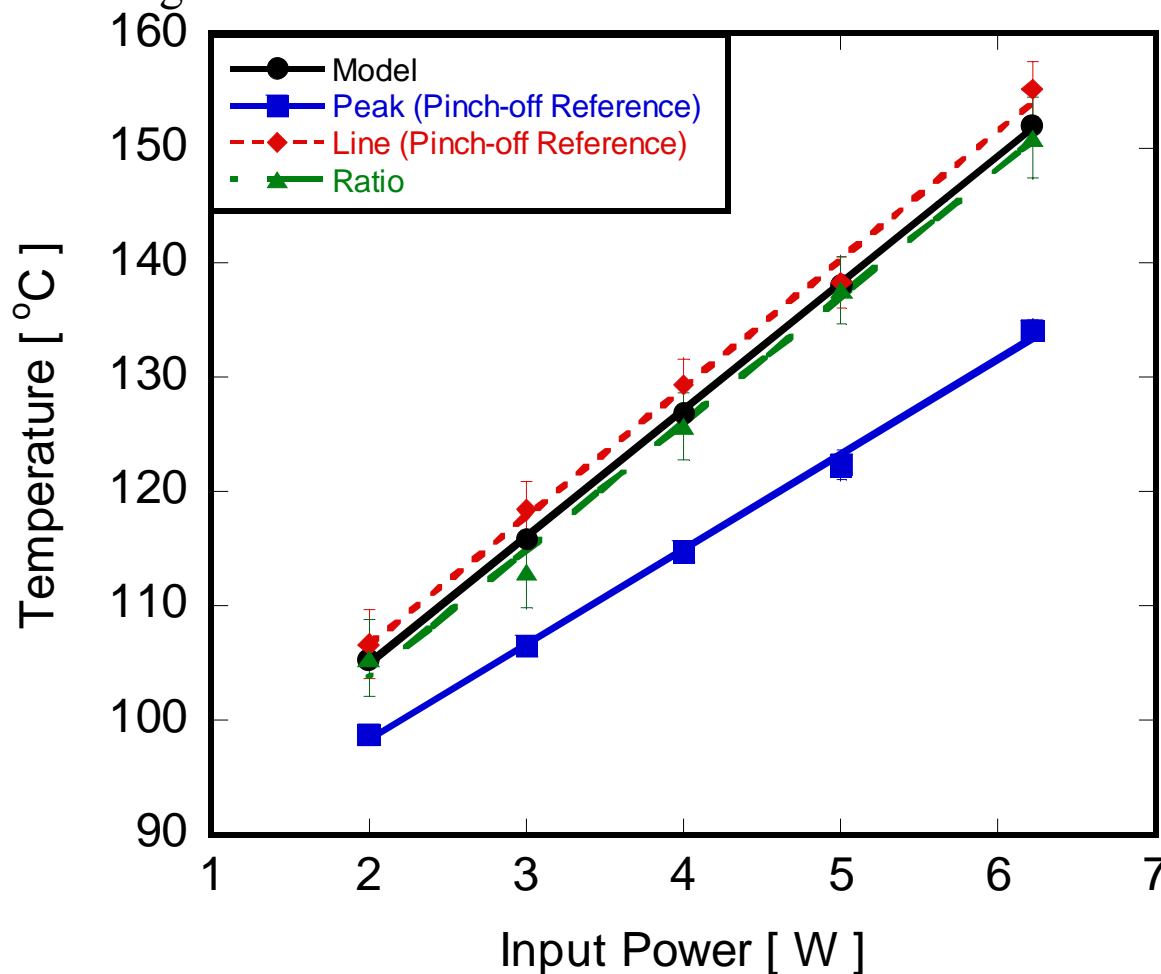
# Stress Dependence: Inverse-Piezoelectric Effects

- HEMT operated under pinch-off conditions (no joule heating)
- Peak shift shows linear dependence  
Piezoelectric induced stresses are proportional to applied bias
- Linewidth shows non-linear dependence
- Conclusion: Only Stokes/Anti-Stokes ratio may be used without stress induced errors



# Raman Thermometry: HEMT, Pinch Off Condition

- Piezoelectric effects may then be removed by monitoring the change in the Raman spectrum from pinch off rather than un-powered conditions. Good agreement is shown with Ratio method and model for the linewidth method.



Next Step: Differences in the temperature measurements may be used to predict stress.

# Converting Results into Stress

$$1) \quad \omega_{j,T} = AT + \omega_{o,j}$$

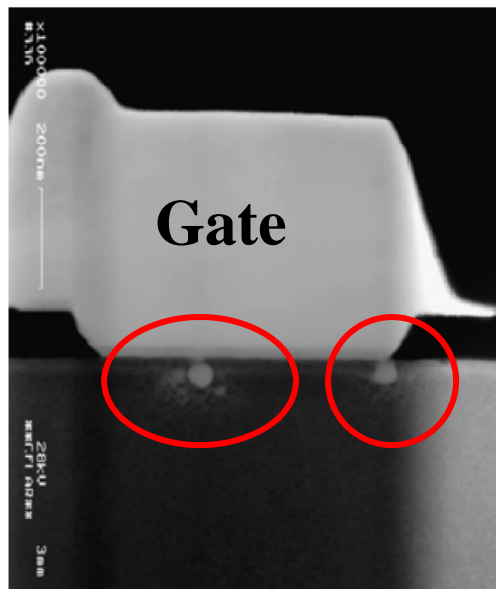
Stokes peak shift with temperature

$$2) \quad \Gamma_j = BT^2 + CT + \Gamma_{o,j}$$

Linewidth broadening with temperature

$$3) \quad \omega_{j,\sigma} = D\sigma + \omega_{o,j}$$

Stokes peak shift with stress



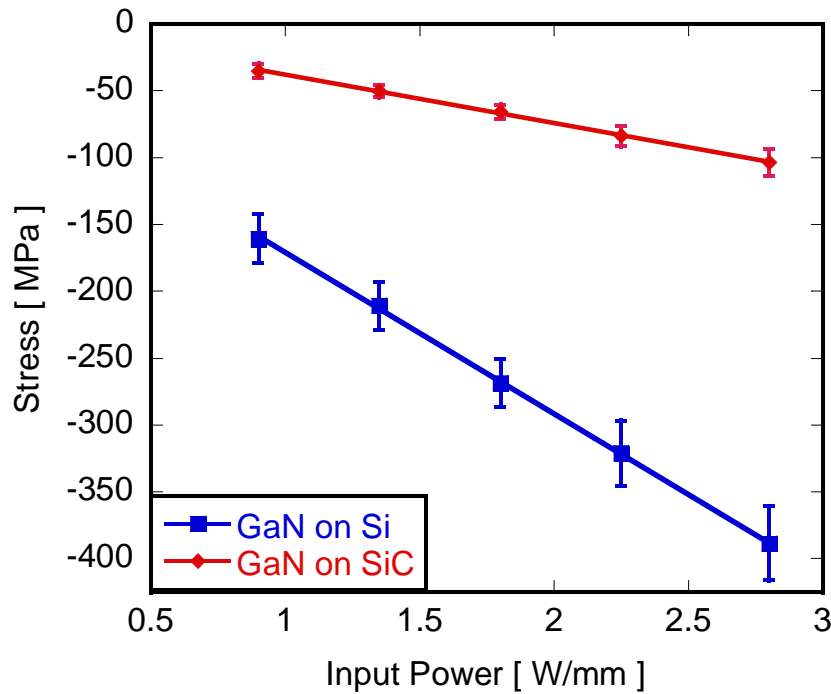
$$\Delta\omega = A \cdot (T - T_o) + D\sigma$$

Temperature

Stress

$$\sigma = \frac{(T_o - T_\Gamma) A}{D}$$

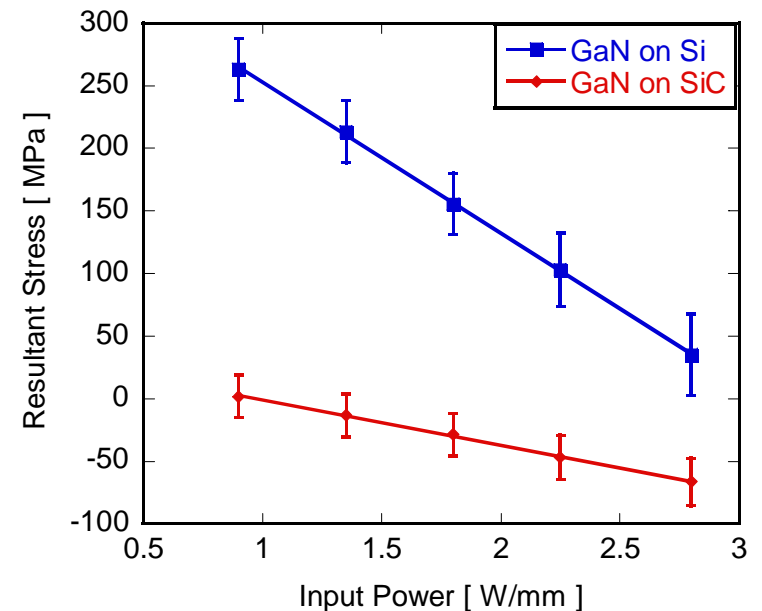
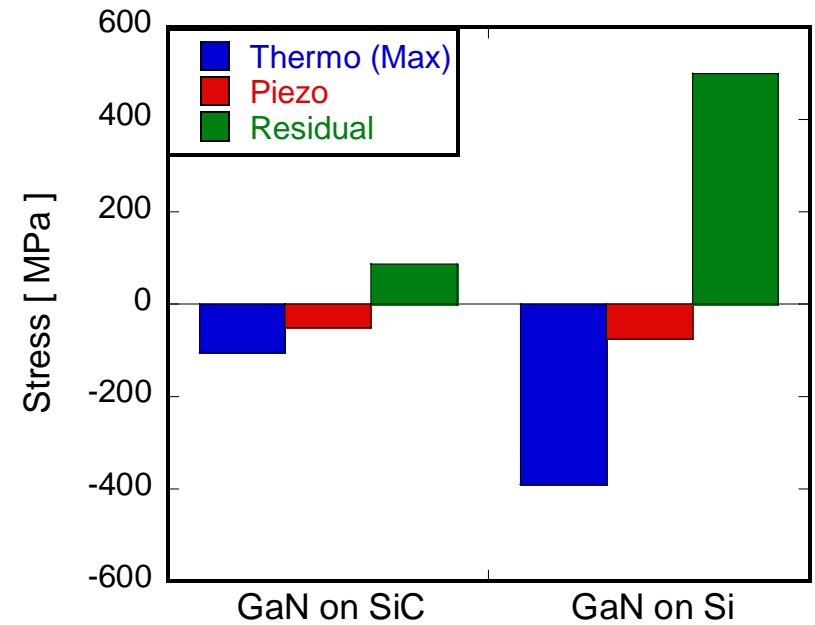
# Biaxial Stresses in Devices: GaN/SiC vs GaN/Si



## THERMOELASTIC STRESS

$$\sigma_{xx} = \sigma_{yy} = \left( \frac{\beta}{D} \right) \left( d_{zz} - \frac{2S_{xz}}{S_{xx} + S_{xy}} d_{zx} \right) E_{zz}$$

Sarua et al. Applied Physics Letters, **88** 103502, 2006.



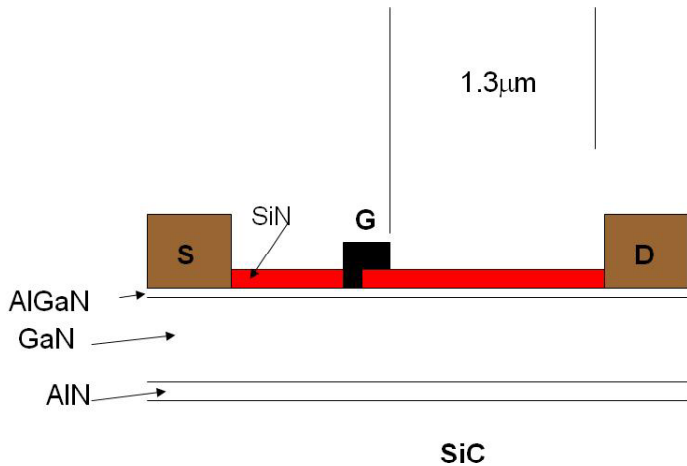


# GaN on SiC: 4.7 W/mm

Measured 2 HEMT device architectures:

2 x 167  $\mu\text{m}$       gate to gate spacing: 50  $\mu\text{m}$

2 x 250  $\mu\text{m}$       gate to gate spacing: 40  $\mu\text{m}$



Measured at 4.7 W/mm and base plate temperature of 76°C.

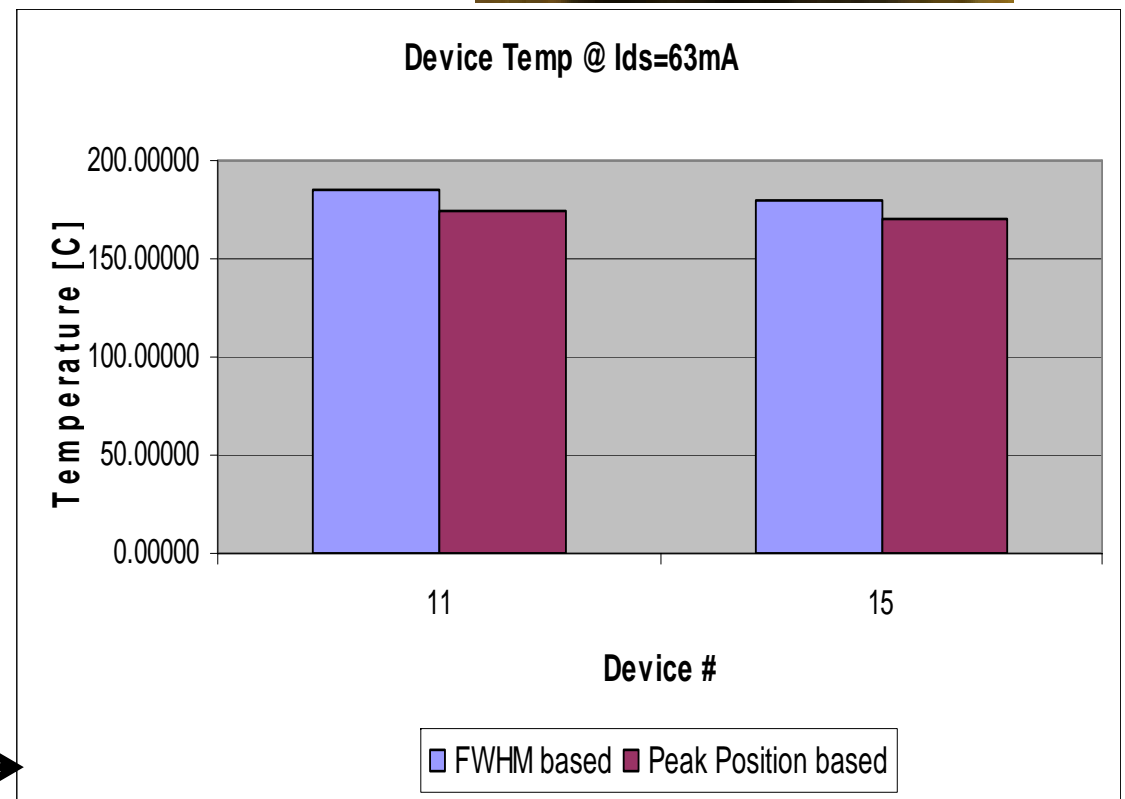
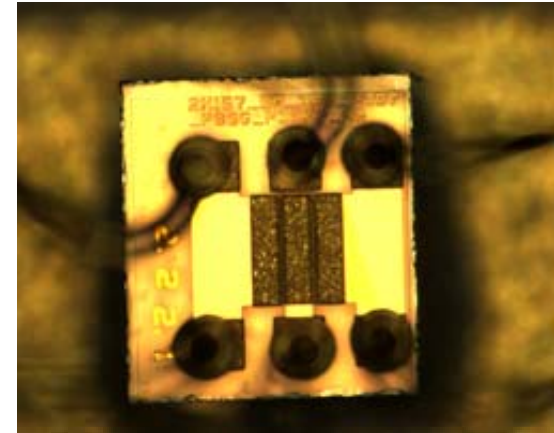
(higher power than previous devices)

Pinch-off condition:  $V_g = -3\text{V}$

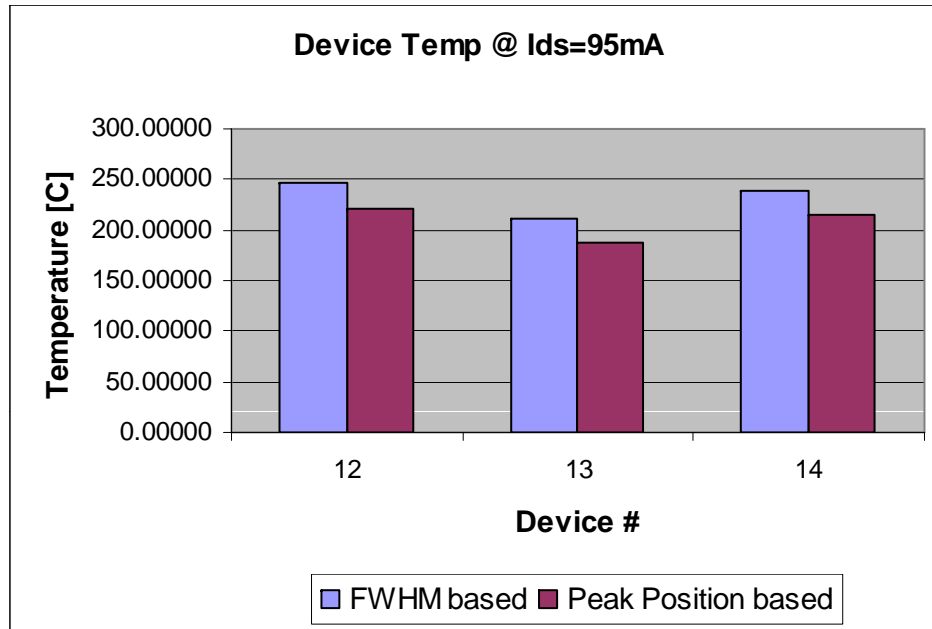
$I_{ds} = 63\text{ mA}$

$V_{ds} = 25\text{V}$

2 x 167 devices →



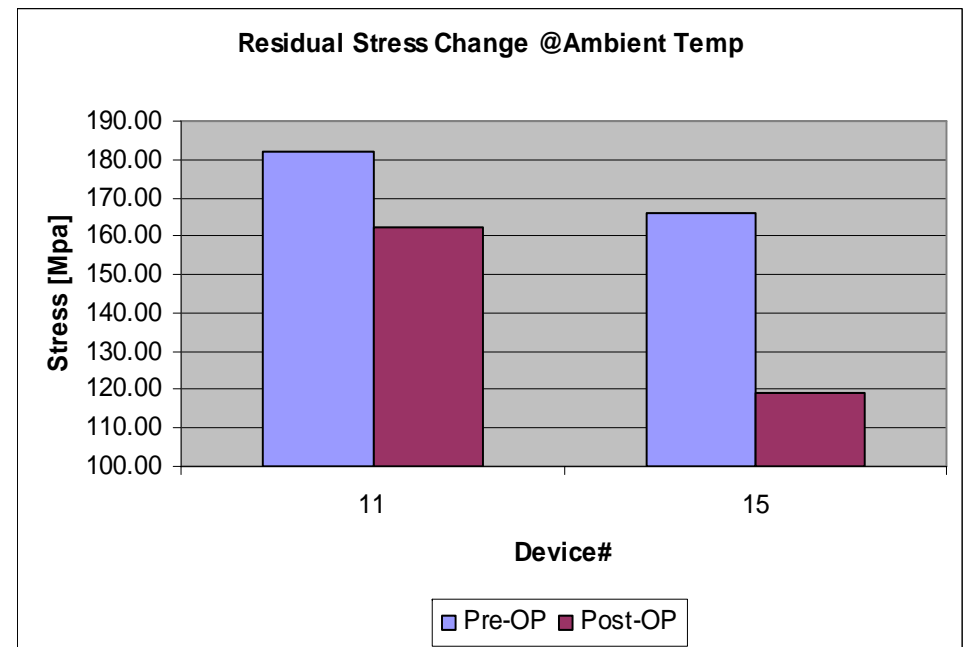
# Device Changes with Stressing GaN on SiC 4.7 W/mm



Devices run to higher powers than previous data shown (2.7 vs 4.7 W/mm).

Stress Relaxation may be occurring.  
Why???

2 x 167 devices →



# Device Changes with Stressing

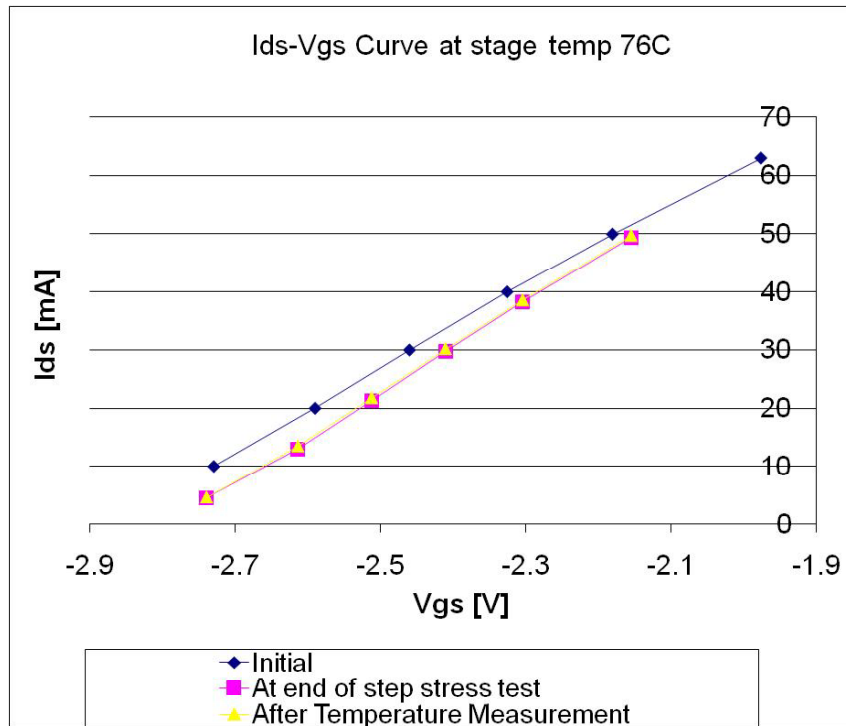
Test to determine if application of electric field or E-field plus heating impacts device.

$V_{ds}$  increased under pinch off conditions. Residual stress in GaN measured after each step.

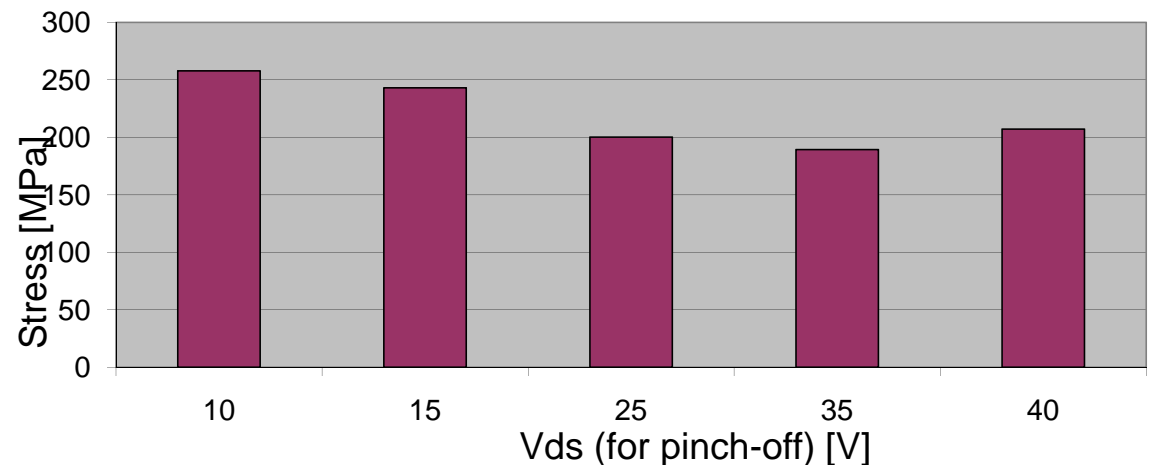
I-V characteristics are different simply after applying a pinch off bias.

Subsequent powering of the device does not cause any additional changes in I-V

By increasing the bias, additional relaxation in device is observed.

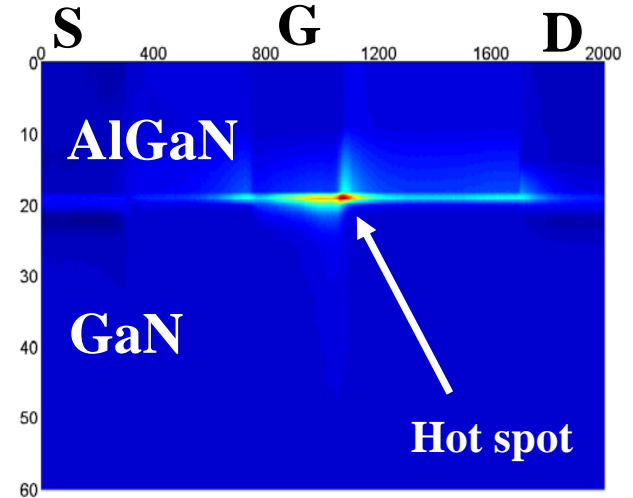


Stress in GaN after step stress test at different  $V_{ds}$



# Multiscale Thermal Modeling

*Hypothesis:* Non-Fourier effects can become important in heat dissipation when heat generation zone is close to Phonon Mean Free Path



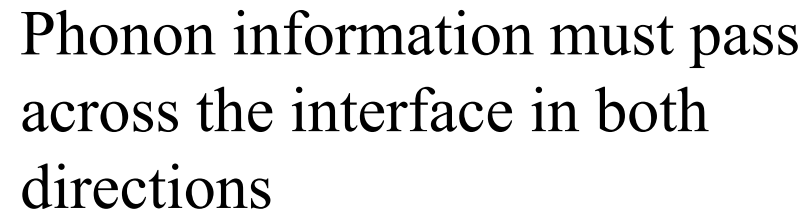
*Assumptions:*

Gray phonon model for GaN (no optical phonon effects)  
Constant temperature properties

*Simulation Tool:*

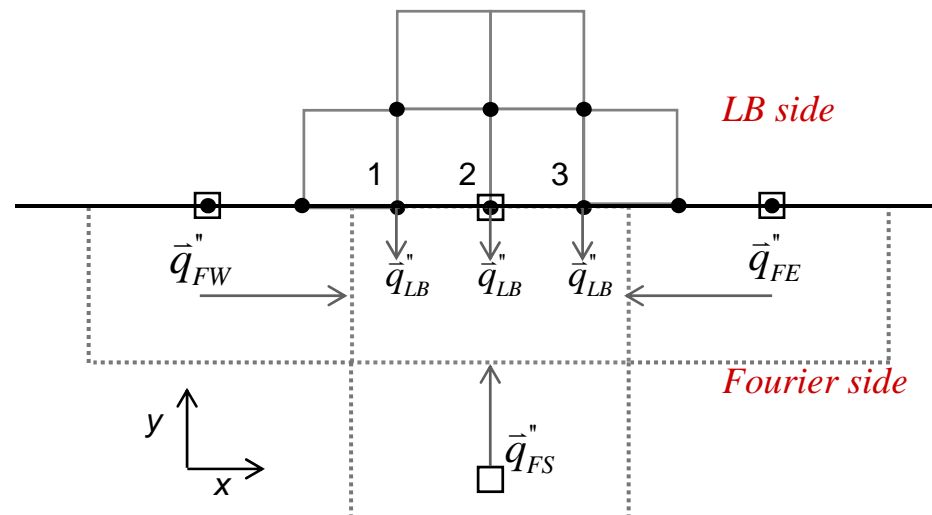
Lattice Boltzmann (LB) phonon transport code coupled to a finite difference (FD) solver (multiscale simulation)

*Note: Multiscale LB/FD model also allows for the application of boundary conditions at macroscopic distances away from the hot spot so as not to interfere with the results*



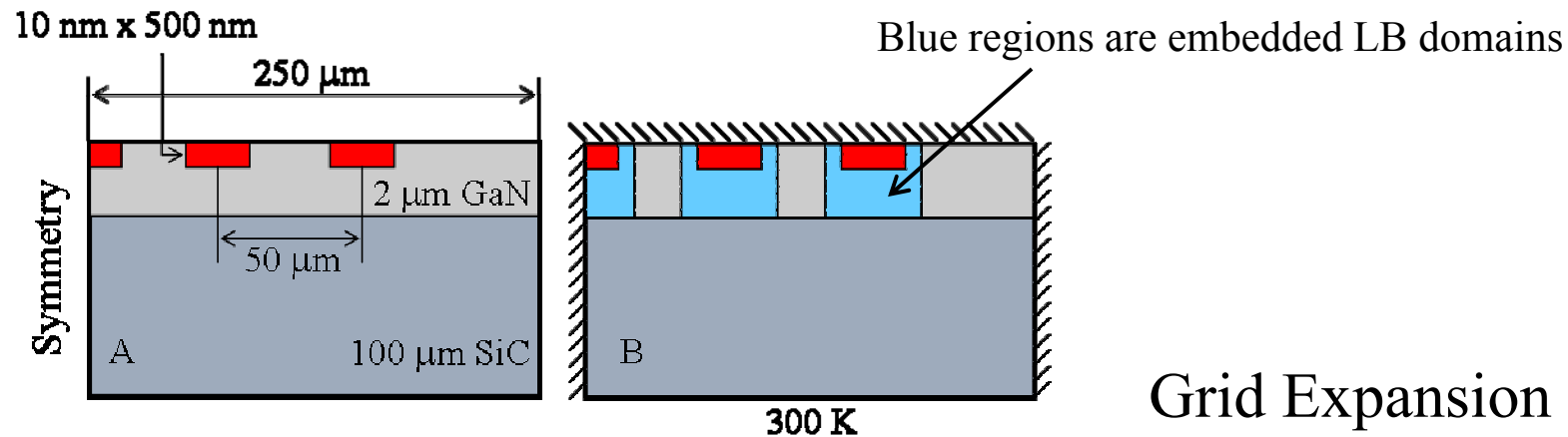
$$\begin{array}{ll} q_1''(a,t) = q_2''(a,t) & Usual \\ T_1(a,t) = T_2(a,t) & Restriction \end{array}$$

Harder to find the proper missing distribution function that allows phonons to travel from FD  $\rightarrow$  LB

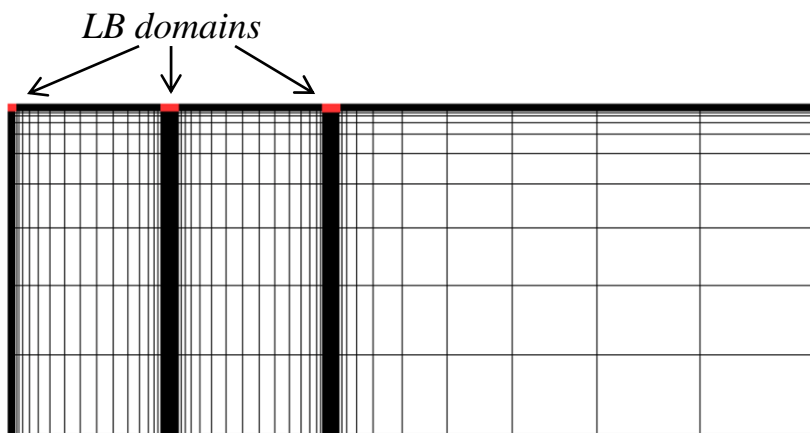


# Multiscale GaN HEMT Model

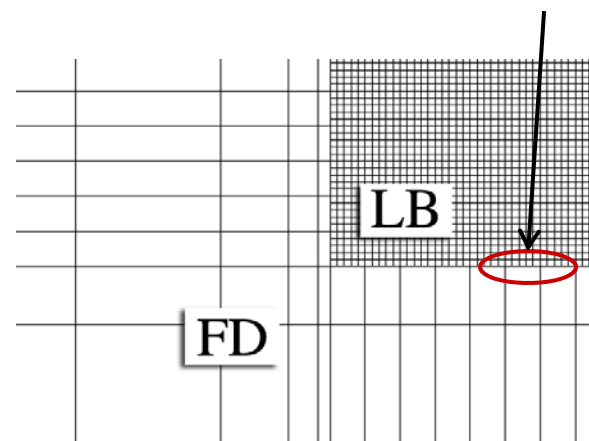
6 Finger HEMT Modeled Geometry:



Grid Expansion of 5:1 at  
LB/FD interface



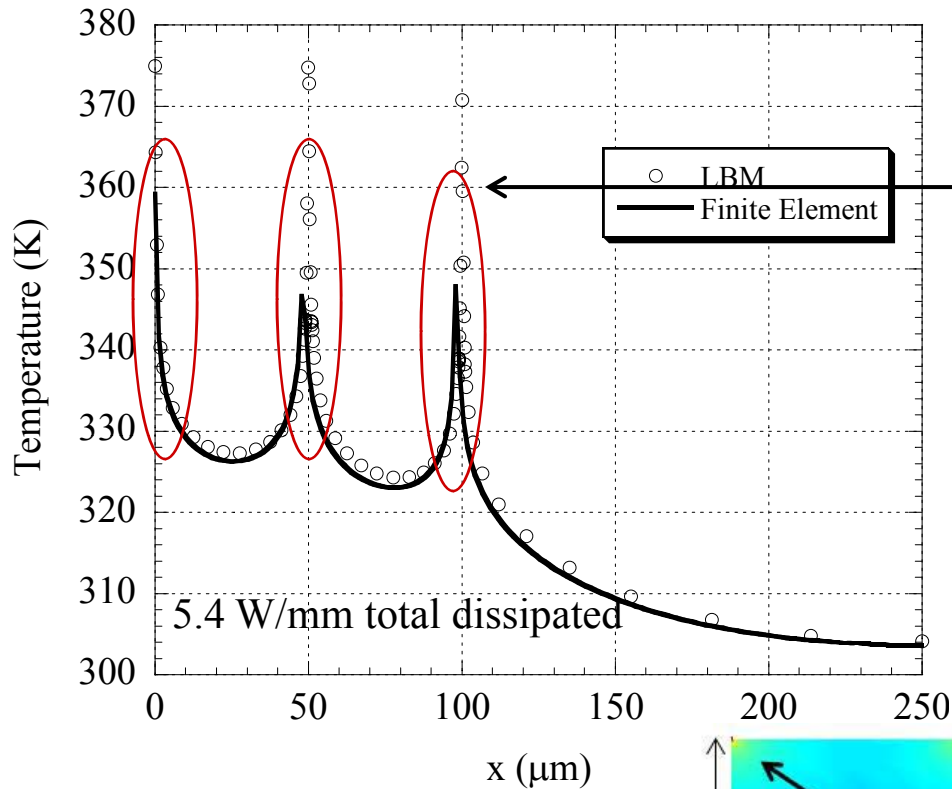
Full field mesh view



Magnified view of coupling interface

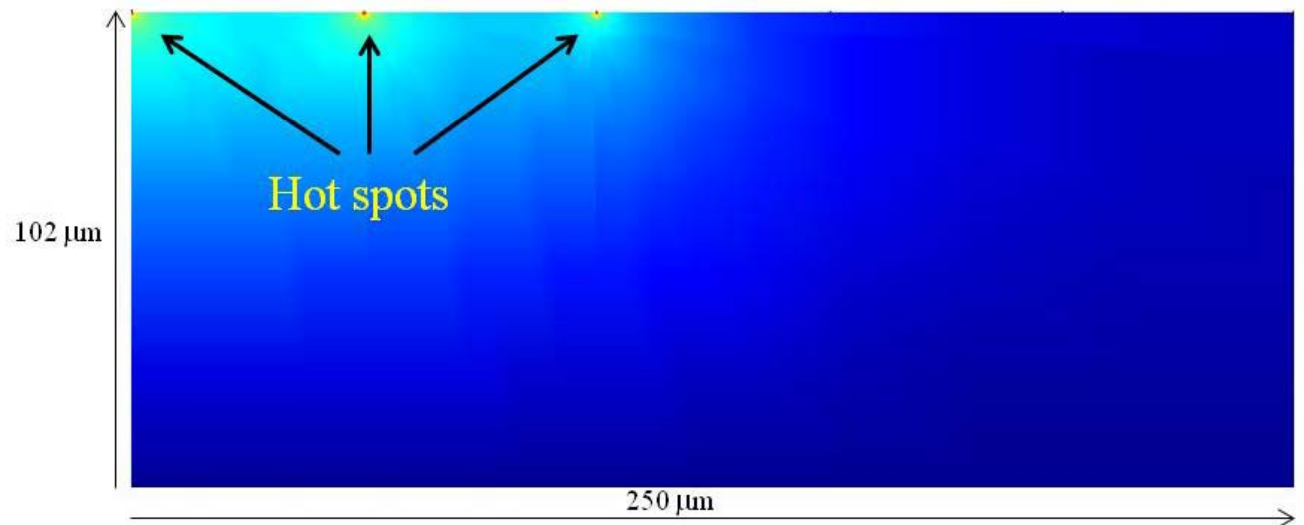
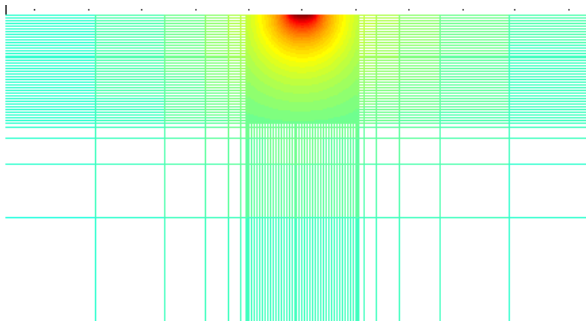


# Temperature Response



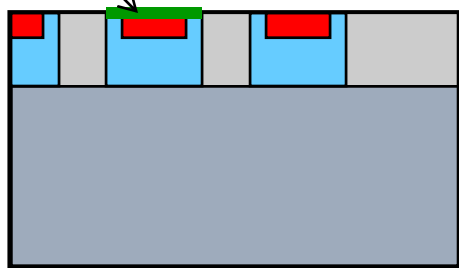
Maximum deviations occur around the hot spot

Effects of optical phonons have been ignored in these simulations

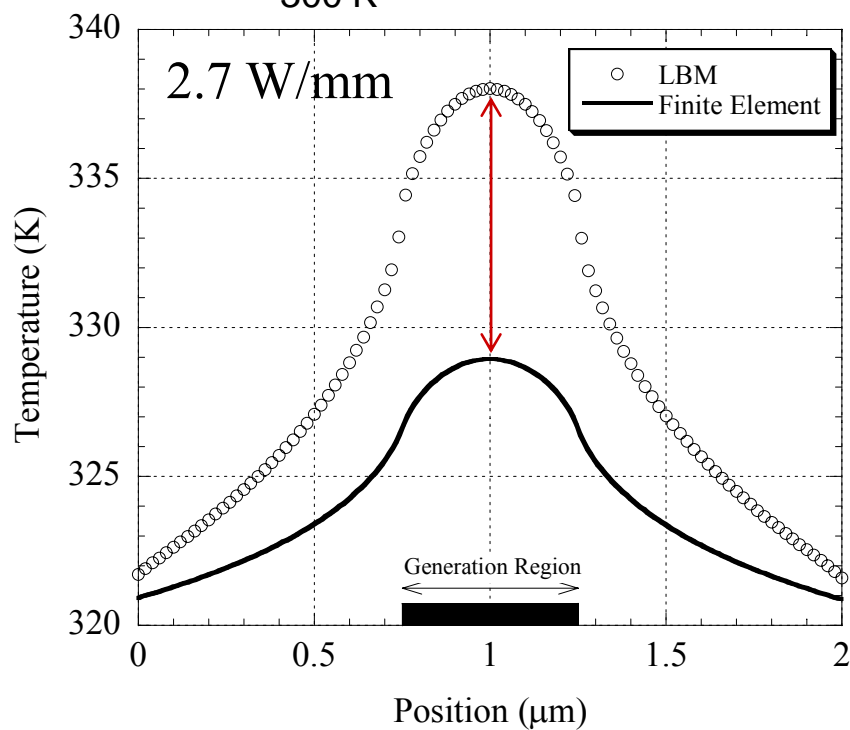


# Multiscale GaN HEMT Model

Data plot along the green line

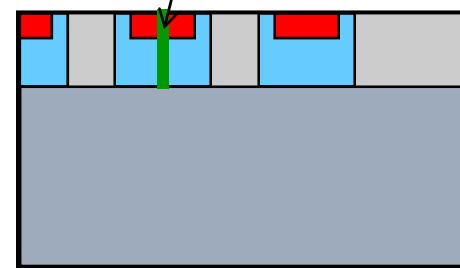


300 K

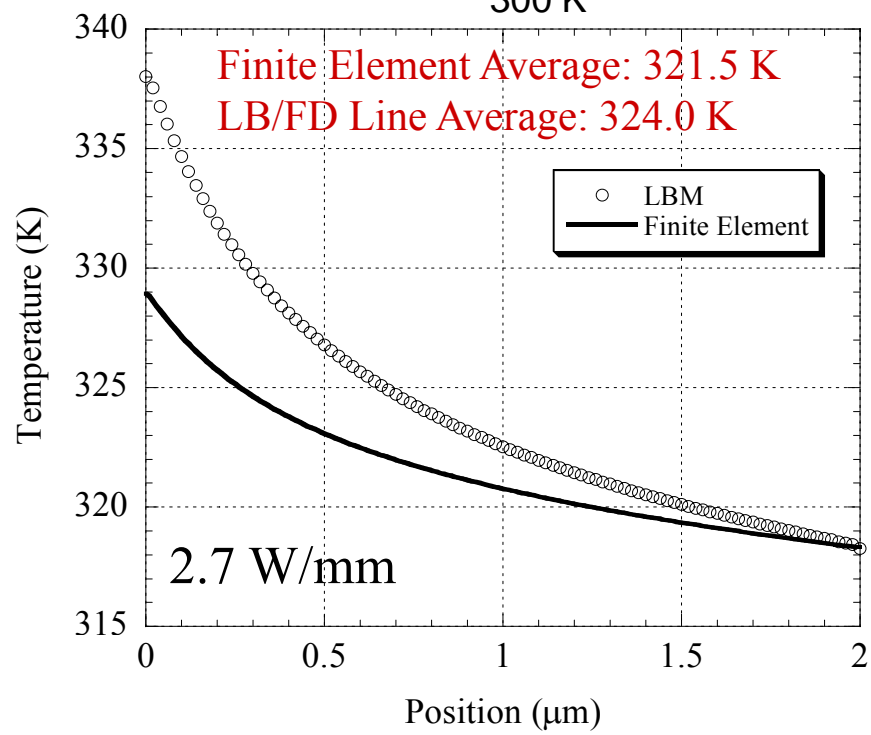


Phonons being confined in the y-direction, causes large deviations in the x direction temperature distribution

Data plot along the green line



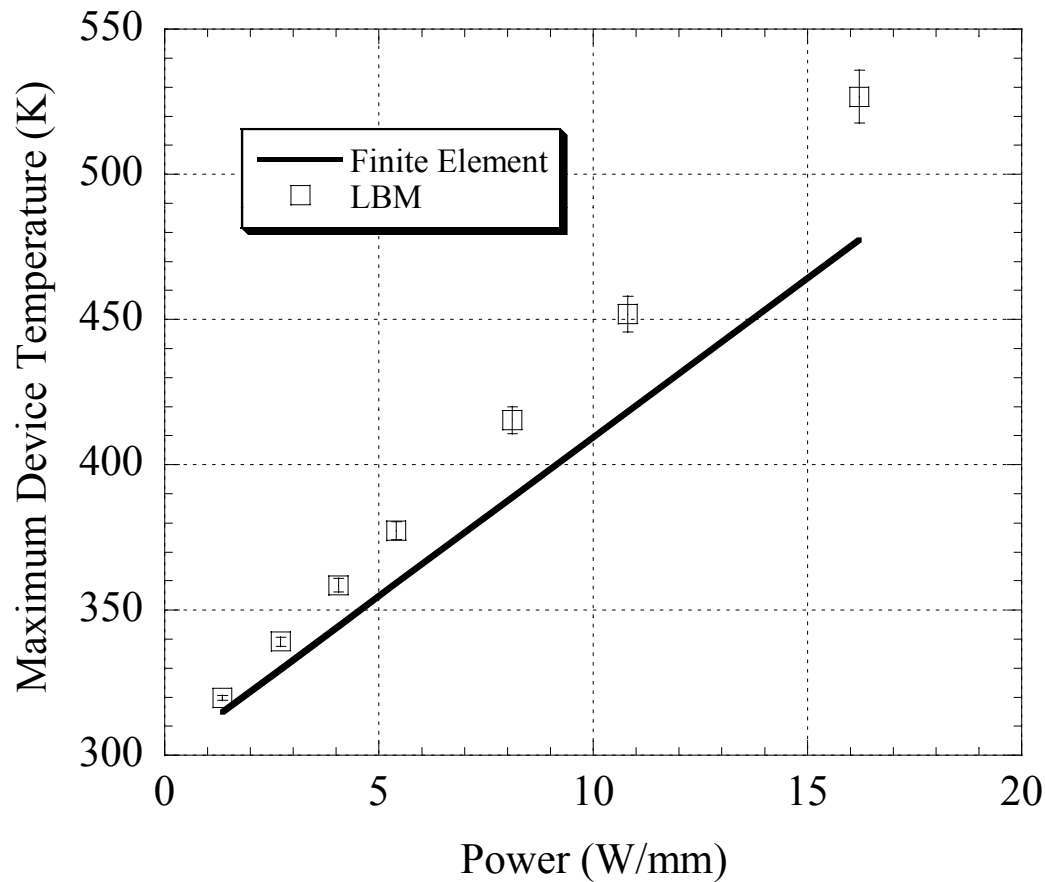
300 K



Temperature distribution relaxes back to diffusive behavior away from the hot spot

# Temperature Response

What is the trend with increasing dissipated power?



At low powers, finite element and LB simulations are similar

Deviation  $\uparrow$  as power  $\uparrow$

Error bars reflect error introduced by the LB lattice size



## Acknowledgements

The collaboration of the following people have made this work possible, namely:

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Sukwon Choi

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Mark Law, Fan Ren, Steve Pearton

Univ. Florida

Dan Green  
George Henry

*RFMD*  
Northrop Grumman

Donald Dorsey, Chris Bozada  
Eric Heller

AFRL

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